JC10 Rec'd PCT/PTO 27 JUN 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/3/2007. OMB 0551-0021

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TRANSMITTAL LETTER TO THE UNITED STATES ATTTORNEY'S DOCKET NUMBER 47706 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 10/510,622 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP03/03667 9 April 2003 10 April 2002 TITLE OF INVENTION A PROCESS FOR THE PREPARATION OF STEM CELLS FROM HUMAN MUSCLE TISSUE AND ADIPOSE TISSUE, AND STEM CELLS OBTAINABLE BY THIS PROCESS APPLICANT(S) FOR DO/EO/US Giulio ALESSANDRI et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. L A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15. L A substitute specification. 16 l A power of attorney and/or change of address letter. 17. 🗸 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. l A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. l A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Req. for Refund; Verified Stmt Claiming Sm Entity Status; Supplemental Prelim. Amend

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)			INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER	
10/510,622			PCT/EP03/03667			47706	
· .	ing fees are submitted:					\$	
a) Basic na	a) Basic national fee\$300.00						
b) Examination fee\$200.00						\$	
C) Search fee\$500.00						\$	
	TOTAL OF ABOVE CA				\$1000.00	\$	
listing or co		ed in an electi	n paper over 100 sheets (ex ronic medium). The fee is \$				
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)					
- 100 =	/50 =		× \$2		× \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$ 130.00	
CLAIMS	NUMBER FIL	.ED	NUMBER EXTRA	RATE		\$	
Total claims	14	- 20 =	20		\$50.00	\$	
Independent cla	ims 3	- 3 =	0	х	\$200.00	\$	
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+	\$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =						\$ 130.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						\$ 65.00	
SUBTOTAL =						\$ 65.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$.	
TOTAL NATIONAL FEE =						\$	
	g the enclosed assignment te cover sheet (37 CFR 3.		21(h)). The assignment mus	t be a	accompanied +	\$ 40.00	
TOTAL FEES ENCLOSED =					\$ 105.00		
2005 GFREY1 00000140 10510622 2617 65.00 DP			***			Amount to be refunded:	\$
						Amount to be charged:	\$
a. A check in the amount of \$ 105.00 to cover the above fees is enclosed.							
b. Please A dup	e charge my Deposit Acco	ount No enclosed.	in the amount of \$	·	to co	over the above fees.	
	ommissioner is hereby au nt No. <u>18-2220</u> . A du		arge any additional fees whi	ich ma	ay be required,	or credit any overpaymer	nt to Deposit t
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SEND ALL CORRESPONDENCE TO:				\bigcirc \bigcirc \bigcirc			
Customer No	o. 001609			_	91GNATURE	- Julia	
Roylance, Al	orams, Berdo & Good	man, L.L.P.		ı	-eiGNATURE ₋ance G. Joh	nson	
1300 19th Street, N.W., Suite 600				NAME			
washington, D.C. 20036				32,531			
Telephone: (202) 659-9076				REGISTRATION NUMBER			
=							

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Giulio ALESSANDRI et al.

PATENT

Serial No.: 10/510,622

Group Art Unit:

Filed: October 8, 2004

Examiner:

For:

A PROCESS FOR THE PREPARATION

OF STEM CELLS FROM HUMAN MUSCLE TISSUE AND ADIPOSE TISSUE, AND STEM CELLS

OBTAINABLE BY THIS PROCESS

REQUEST FOR RECONSIDERATION OF REQUIREMENT TO SUBMIT SEQUENCE LISTING

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby request reconsideration on the sequence listing requirement under 37 CFR 1.821-1.825 for the above-identified application. Inspection of the specification will show that the invention does not have or need a sequence listing. One of the references enclosed in an Information Disclosure Statement (PCT/WO 01/54365) has a sequence listing, but not the present invention.

Respectfully submitted,

Lanee G. Johnson

Reg. No.32,531

Roylance, Abrams, Berdo & Goodman, L.L.P. 1300 19th Street, N.W. Suite 600 Washington, D.C. 20036 (202) 659-9076

Dated: __June 27, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Giulio ALESSANDRI et al.

PATENT

Serial No.: 10/510,622

Group Art Unit:

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OF STEM CELLS FROM HUMAN MUSCLE TISSUE AND ADIPOSE TISSUE, AND STEM CELLS

OBTAINABLE BY THIS PROCESS

REQUEST FOR REFUND UNDER 37 C.F.R. § 1.28(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attn: Re

Refund Section
Accounting Division
Office of Finance

Sir:

Applicant hereby claims small entity status for the above-identified application.

Pursuant to 37 C.F.R. § 1.28(a), a refund of one-half of the filing fees filed on October 8, 2004 is requested in the amount of \$475.00. Please refund the overpayment to the undersigned.

Respectfully submitted

Lance G. Johnson Reg. No.32,531

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Dated: 27 June 2005